



United States Bankruptcy Court Southern District of New York Attn: Lehman Brothers Holdings Inc. One Bowling Green New York, NY 10004-1408

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St. Gallen, 10th december 2014

#### Transfer of claims / Lehman Brothers Holding / Registration

Dear Sir or Madam

By order of acrevis Bank AG we send you enclosed the following forms:

- Evidence of Transfer (Neue Aargauer Bank to acrevis Bank AG)
- Form 210A
- payment aggregation acrevis Bank AG

Thank you very much for your confirmation of the registration.

With compliments

Finanz-Logistik AG

Marcel Ledergerber

Roman Lengwiler

Copy: Eqiq Bankruptcy Solutions LLC, 757 Third Avenue, 3rd Floor, New York, NY 10017, USA

### EVIDENCE OF TRANSFER OF CLAIM

#### TO: THE DEBTOR AND THE BANKRUPTCY COURT



For value received, the adequacy and sufficiency of which are hereby acknowledged, Neue Aargauer Bank AG ("Transferor") unconditionally and irrevocably transferred to Acrevis Bank AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim Claim No 55814 relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON 9 December, 2014.

Neue Aargauer Bank AG

By:\_\_\_\_ Name: Adrian Graf

Title: AVP

By: Name: Rita von Wyl

Title: AVP

## SCHEDULE I

## Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
CH0034774536	55814	October 30, 2009	Lehman Brothers Securities NV	CHF 60,000

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Neue Aargauer Bank AG

acrevis Bank AG	Neue Aargauer Bank AG	
Name of Transferee	Name of Transferor	
Name and Address where notices to transferee should be sent:	Court Claim # (if known): 55814  Date Claim Filed: October 30, 2009  Amount of Claim: Portion of Claim Transferred (see Schedule I): CHF 60'000	
acrevis Bank AG c/o Finanz-Logistik AG Rosenbergstrasse 16 Postfach 661 9004 St. Gallen / Switzerland		
Phone: +41 71 242 77 17	Phone:	
Last Four Digits of Acct #:	Last Four Digits of Acct. #:	
payments should be sent (if different from above):		
Phone:		
Last Four Digits of Acct #:		
I declare under penalty of perjury that the informat the best of my knowledge and belief.  Finanz-Logistik AG  By:  Transferee/Transferee's Agent	ion provided in this notice is true and correct to  Date: 20141210	
D to C time Colored Fine of the \$500,000 or i	marisonment for up to 5 years or both 18 II S C 88 152 & 35	

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U

08-13555-mg Doc 47655 Filed 12/15/14 Entered 01/06/15 11:31:25 Pg 5 of 6



Lehman Brothers Holdings Claims Processing, PO Box 6389, Portland, OR 97228-6389

**Creditor Name:** 

acrevis Bank AG (former swissregiobank AG)

Payment Aggregation Number

W0041344

Claim Number(s):

48907

Please Type of Print in the Boxes Below; Do NOT use Red Ink, Pencil, or Staples

PART I: CONTACT INFORMATION

Contact Last Name  L E D E R G E R B E R M A R C E L
Telephone Number (Day)  0 4 1 - 0 7 1 2 4 2 - 7 7 1 7
Telephone Number (Evening) or (Cell)
M         A         R         C         E         L         L         E         D         E         R         G         E         E         I         N         A         N         Z         -         L         O         G         I         S         T         I         K         .         C         H           (Username)         R         B         E         R         B         B         R         B         B         B

08-13555-mg Doc 47655 Filed 12/15/14 Entered 01/06/15 11:31:25 Main Document Lehman Brothers Holdings Claims Processing, PO Box 6989, Portland, OR 97228-6389 Creditor Name: acrevis Bank AG (former swissregiobank AG) Payment Aggregation Number: W0041344 Part II: BANK INFORMATION Account Holder Name (if different than Creditor Name above): Beneficiary Bank Name: Country of Bank Account: IBAN or Account Number (Required): BIC/SWIFT Code (non-US Banks only): ABA Routing Code (US Banks Only): Sort Code (UK/IRL Only): Other Banking Instructions - Specify Further Credit (FFC) Information Here: If you need to use an intermediary bank to transfer money, please complete the below: Intermediary Bank Name: Country of Intermediary Bank Account: Intermediary IBAN or Account Number: BIC/SWIFT Code (non-US Banks only): ABA Routing Code (US Banks Only): Sort Code (UK/IRL Only): Intermediary Bank Instructions I, the undersigned, am the above-referenced creditor, or an authorized signatory for the above-referenced creditor. (If the signatory is not the above-referenced creditor, please provide a copy of the power of attorney, or a letter on company letterhead granting the authority to make the representation on behalf of the creditor, and provide your title below.) I hereby (1) request that distributions made to the above-referenced creditor be made by wire transfer to the above-referenced bank account, (2) confirm

that this account is authorized to receive payment for this claim, and (3) acknowledge that a fee of \$20 for transfers to a U.S. bank account or \$35 for transfers to a non-U.S. bank account will be deducted from each wire.

Signature of Claimant, or Authorized Signatory

Robert Noll

Date: M M

Print Name of Claimant, or Authorized Sginatory (and title, if applicable)

Keller Florian